Appendix A—Reviewed Documents Received During the Reporting Period

The list below reflects documents received during the current reporting period only.

ACT
- ACT EastRidge Startup Progress Notes
- ACT EastRidge Startup Proposal
- ACT Monthly Provider Discussion Notes
- ACT Retrospective Review Tool
- PRTF Policy (Provider Agreement)

Assessment
- BSS Pathway to CMHS Final Draft March 2023
- QIA Rollout Proposal
- QIA Training
- MDT Desk Guide Final Draft March 2023
- MDT Participants and Confidentiality Statement

Behavioral Support Services
- Concord University Behavioral Support Services/Positive Behavior Support (BSS/PBS) training attendance information, Fall 2022

CMCRS
- CMCRS Manual -FINAL 01112023
- Marshall Training Center Website
- MRSS Training Completion
- MRSS Intro Manual Winter 2022
- MRSS Supervision Mod 1 Participant Manual WV -01172023

CSEDW
- BMS WV State Medicaid Plan webpage, including link to SPAs
- Children with Serious Emotional Disorder (CSED) Waiver Wraparound Facilitator per member per week (PMPW) rate

General Implementation
- March 10 and 27, 2023 WV DHHR Deliverables Summary to SME
- January 2023 WV DHHR Children's Mental Health and Behavioral Health Services: Quality and Outcomes Report

- Kids Thrive Collaborative Website
- Implementation Plan Year 4
- June 2022 Report: Children's In-Home and Community-Based Services Improvement Evaluation Baseline System and Community-Level
- Link to WV Court Improvement Program Webpage
- Subject matter expert (SME) Recommendations Traceability Matrix
- Workgroup meeting dates in the past six months
- BMS policy webpage with array of services: Policy Manual (wv.gov)
- March 2023 Monthly Kids Thrive Statistics at a Glance
- March 2023 Quality Committee Slide Deck

Governance
- Workgroup meeting dates in the past six months
- Implementation Plan – DOJ Committee/Workgroup Chart (March 2023)
- Implementation Plan – DOJ Committee/Workgroup Chart (December 2019)

Outreach and Education (O&E)
- O&E PCP HealthCheck MH Referral Training FINAL
- O&E PCP MH Referral Desk Guide FINAL
- O&E HealthCheck Resource webpage
- O&E Plan Draft Revised March 2023
- Resource Rundown
- "Did you know?" short video and reel on WVDHHR social media Outreach Tracker – internal online form and data

QAPI
- Continuous Quality Improvement Plan
- QAPI Data Store Buildout Timeline Projection March 2023
- QAPI Data Store Module Buildout Workflow
- QAPI Key Performance Indicator Tables (Working Document)
- QAPI Suite of Reports and Quality Review Schedule March 2023 Update
- WV Youth in Group Residential and Psychiatric Residential Treatment Facilities

Residential
- Chapter 531 Psychiatric Residential Treatment Facilities Services (Effective Date: January 1, 2023)
- Foster Care Policy
- Standard Operating Procedure: 30-Day Reauthorizations/Reevaluations Review

- CANS Residential Decision Support Model (revised March 2023)
- Residential Mental Health Treatment (RMHT) model April 2022
- Request for Proposal: Transitional Living for Vulnerable Youth Residential Programs

Screening
- BSS Pathway to Children's Mental Health Services, March 2023
- Juvenile Probation Policy Mental Health Screening rev 10282022
- Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) modifier codes/rates

Target Population
- At-Risk Target Population Definition Testing Results (January 2022)

TFC/STAT
- Foster Family Care Provider Agreement: Stabilization and Treatment Home Addendum
- STAT Home Contract - BETHANY CHRISTIAN SERVICES SIGNED 07262022
- STAT Home SOP Manual FINAL Nov 2022
- STAT Home Training FINAL

Workforce
- WVU Medicaid Study – Telehealth during COVID-19
- Statewide Therapist Loan Repayment (STLR) update
- DHHR November 2022 Wraparound Facilitator (WF) Capacity

Wraparound
- August 2022 West Virginia Wraparound Fidelity Report (finalized Dec 2022)
- West Virginia Wraparound Manual
- WV Behavioral Health Workforce and Health Equity Training Center webpage
- Forecasting Demand for CSED Waiver Wraparound Facilitation Services

Appendix B—Contacts with West Virginia and the Department of Justice

Meetings With:	Dates
Cammie Chapman (and team)	2/26/23, 3/9/23, 3/16/23, 3/24/23,
WV and DOJ	2/1/23, 2/10/23, 2/15/23, 3/1/23, 3/3/23, 4/10/23, 4/18/23, 5/2/23
DOJ	3/14/23, 4/3/23
On Site Meetings/Visit to WV	3/29-3/30/23
BerryDunn	2/22/23
Medicaid	4/23/23

Appendix C—SME Compliance Rating Criteria

COMPLIANCE CRITERIA	
CATEGORY	**CRITERIA**
Substantial Compliance	1. Has undertaken and completed the requirements of the paragraph; no further activity needed OR 2. Has undertaken and completed the requirements of the paragraph--met with updates continuing to occur.
Partial Compliance	1. Compliance has been achieved on some of the components of the assessed paragraph or section of the agreement, but significant work remains; 2. Has developed deliverables that indicate the state is actively addressing the requirements of the paragraph; 3. Has provided data that indicates the State is actively addressing the requirements of the paragraph; 4. Has implemented activity and has yet to validate effectiveness; 5. Has implemented activity but has not developed procedures to assess the effectiveness of the service or has not taken adequate measures to ensure its sustainability after the agreement terminates; 6. Has begun activities but not completed implementation activities.
Non-Compliance	1. Non-compliance indicates that most or all of the components of the assessed paragraph or section of the agreement have not been met; 2. Has made little or no progress to meet the targets set forth in the Agreement, Implementation Plan or other plans; 3. Has done no work to meet the date as set forth in the paragraph of the Agreement. 4. Has not provided data or access to staff so that the Subject Matter Expert may properly assess compliance.
Not Rated	Not Rated indicates a paragraph or section of the agreement where the parties have agreed that the Subject Matter Expert shall not rate the State's compliance during the assessment period.
NOTE: All criteria are applied specific to the time period reviewed. For example, a rating of partial compliance in one report period would not necessarily continue to be rated as partially compliant if there is	

no continued evidence of progress.   A rating of substantial compliance in one report period would not continue to be rated as substantially compliant if achievements were not maintained.

SUPPORTING DOCUMENTATION

The SME will rely on written information, and data from the Quality Assurance and Performance Improvement (QAPI) System and the quality sample reviews of children, provided by the State in order to arrive at its evaluation. Deriving compliance from written document has limitations as even the best-intentioned policies do not succeed or fail on their own merits; their progress is dependent upon the processes of implementation. Noting this limitation, the SME's determination of substantial compliance will rely on data from the QAPI and the quality sample reviews of children, and implementation of the State's continuous quality improvement plan in which the State implements changes to policies, procedures, practices, regulations and other relevant State guidance and activities based on trends in QAPI data.

Information reviewed will include, but is not limited to:
1. Standard Operating Procedures and Contracts – contract requirements, policies and related documents such as service descriptions; admissions, continuing stay, medical necessity, and discharge criteria; provider bulletins, communications with providers, manuals, and transmittals; billing and reporting requirements and manuals; staffing requirements; and documentation requirements, meetings with providers and interested/affected groups.

2. Training - initial and continuing training requirements for services, supports, and staffing; training curricula, including seat-time and competency-based requirements; training specificity (i.e., is the training sufficient to deliver to the service in a manner that is likely accomplish Agreement goals); and training evaluation practices.

3. Oversight and Monitoring - identification of measures and operational objectives; selection and validation of performance measures, benchmarks, and targets for improvement over time; use of measurement and analysis to identify relative areas of success and weakness; measurement of community partners and family engagement (e.g., survey instruments, focus groups, independent observation, etc.); case reviews with attached methodology (e.g., random sampling, statistical sampling, etc.); performance improvement plans; audits and auditing procedures.

4. Data-driven Quality Improvement - Planning, implementation, and regular use of well documented, structured, iterative processes for reviewing data from #3, above, to drive continuous quality improvement; goal setting, looking at the actual data for performance measures, and acting on results.

Appendix D—Meetings October 2022 to March 2023 Period

Meeting Names	Frequency of Meetings	No. of Mtgs over 6 Month period
Recurring DOJ Related Workgroup Meetings		
Executive Steering Committee	Weekly (mostly)	16
Wraparound Facilitator Wraparound Capacity Check-In	Bi-Weekly (mostly)	11
Stabilization and Treatment Home Workgroup	Bi-Weekly (mostly)	11
Aetna Discharge Planning Meeting	Schedule/Frequency unknown	16
Children's Mobile Crisis Response (SME Priorities)	Weekly	23
Safe at Home Meeting	Bi-weekly (mostly)	14
Primary Care Provider Outreach Workgroup (Assessment Pathway)	Once or twice monthly (mostly)	7
Data Store Weekly Check-In	Weekly	22
Continuous Quality Improvement Check-In, Priorities, and Action	Weekly	23
Department of Justice (DOJ) Workgroup Leads Meeting	Monthly (mostly)	4
Assessment Pathway (AP) Focus Group	Monthly (mostly)	7
Quarterly Quality Committee Review Meeting	Quarterly (2 in October)	3
Bureau for Social Services (BSS)/Socially Necessary Services (SNS) Providers Touchpoint	Quarterly	2
Crisis Center Internal Design Meeting	At least monthly	8
Wraparound Fidelity Review	At least monthly	11
Bureau for Social Services (BSS) Program Level Quality Review	Monthly (partially)	3
Department of Justice (DOJ) Executive Steering Committee (ESC)- Rate Setting Meeting	Not consistent	2
Qualified Independent Assessment Workflow	Weekly (beginning in 2023)	8
Bureau for Medical Services (BMS) Program Level Quality Review	Not consistent	2
Internal Review of Monthly Child Placing Agencies Performance Measures	Not consistent	2
Safe at Home Child and Adolescent Needs and Strengths (CANS) Automated System BSS/Public Consulting Group	Monthly (beginning in 2023)	3
Review Edits to the Five-Year Outreach Plan	Not consistent	3
Quarterly Probation Services Data Review	Quarterly (partially)	1
Crisis Support for Foster Parents	Not consistent	1

West Virginia Care Coordination Workgroup	Not consistent	1
Ad Hoc Meetings		
Outreach Tracking Planning Discussion	Ad Hoc	1
CSED Utilization and Length of Stay Analysis Check-In	Ad Hoc	4
Probation Screening of Pre-Adjudicatory Youth	Ad Hoc	1
Status Update Re: Youth Services and Child Protective Service Data	Ad Hoc	1
Assessment and Evaluation Expectations for Qualified Individual Assessment Eligible Children	Ad Hoc	2
CSED Data Review	Ad Hoc	1
CSED Waiver/Wraparound – Master Workplan Review	Ad Hoc	1
Positive Behavior Support Master Workplan Review	Ad Hoc	1
Dashboard Follow-up	Ad Hoc	1
Continue CSED Waiver Review	Ad Hoc	1
Outreach Tracking Discussion/Planning	Ad Hoc	1
Review Bureau for Social Services Data in Prep for October 26-27 Quality Review Committee	Ad Hoc	1
Review Assertive Community Treatment Data	Ad Hoc	1
Status and Next Steps Re: Youth Services and Child Protective Services Screening Data	Ad Hoc	2
Residential Mental Health Treatment Payment Structure	Ad Hoc	1
Bureau for Juvenile Services Screening Data Review	Ad Hoc	1
Establish Formal, Recurring Review Process for Probation Services Data	Ad Hoc	1
Qualified Independent Assessment Expansion	Ad Hoc	1
Review Slide Deck in Prep for Meeting with DOJ	Ad Hoc	1
Outreach and Education Discussion	Ad Hoc	1
Status/Next Steps Re: Aggregating Mobile Response and Wraparound Data for Bureau for Behavioral Health and Bureau for Medical Services (CSED)	Ad Hoc	2
Aetna Data Collection and Transfer	Ad Hoc	1
CSED Waiver/Wraparound SME Report Recommendations Discussions	Ad Hoc	2
Outreach and Education Deliverables	Ad Hoc	2
Data Store Report Prototypes	Ad Hoc	1
Data Tracking of Out of State Residential Placements	Ad Hoc	1
Agree on Data Sets/Visuals for January 2023 Report	Ad Hoc	1
Oversight and Review of Child Protective Services and Youth Services Screening Data Reporting	Ad Hoc	1

Discharge Setting Types in Family and Children Tracking System	Ad Hoc	1
CSED Waiver Services Forecasting	Ad Hoc	1
Process for Capturing CAFAS/PECFAS Scores	Ad Hoc	1
Discharge Planning Manual Case Review	Ad Hoc	1
Review of CSED and other Data for January Semiannual Report	Ad Hoc	1
Review Aetna RMHTF and Discharge Planning and Content for DHHR Semiannual Report	Ad Hoc	1
Qualified Independent Assessment Needs and Next Steps	Ad Hoc	1
Positive Behavior Support/Bureau for Social Services SME Report Recommendations and Responses	Ad Hoc	1
Kepro Contract Update	Ad Hoc	2
Discuss Out of State Placements	Ad Hoc	1
Outreach Tracker Planning	Ad Hoc	1
Discuss 30 Day Re-Evaluation Process	Ad Hoc	1
CSED Waiver Data Collection QuickBase	Ad Hoc	1
Qualified Independent Assessment Data Discussion	Ad Hoc	1
Assertive Community Treatment SME Report Recommendations and Responses	Ad Hoc	1
Bureau for Juvenile Services Screening Data Review	Ad Hoc	1
Hope Site Visit Planning	Ad Hoc	1
Data Store Report Prototypes	Ad Hoc	1
Approach and Assignment for using Access to Help Data for QAPI/DOJ	Ad Hoc	1
Updated Wrap Facilitator Forecast	Ad Hoc	1
CSED Enrollment Data Collection Level-Setting	Ad Hoc	1
Agree on Setting Drop-Down List for Aetna Discharge Planning	Ad Hoc	1
Follow-up on Data Collection in Epi Info	Ad Hoc	1
Data/Visuals for March 2023 DHHR Quality Committee Reviews	Ad Hoc	1
Automating the Out-of-State Face Sheet	Ad Hoc	1
Review of CSED Waiver Data Collection in QuickBase: Front and Back End	Ad Hoc	1
Review Cross-System Utilization Report Protypes	Ad Hoc	1
Next Steps with Foster/Kinship Care Report	Ad Hoc	1
Intensity of Service Data	Ad Hoc	1
At-Risk Workflow Discussion	Ad Hoc	4

Meeting to Discuss Quality Review for MDT Meetings include SME Priorities	Ad Hoc	1
Next Steps with CSED, Length of Stay, Life Cycle and On-hold Analysis	Ad Hoc	1
Outreach and Education Touchpoint	Ad Hoc	1
Datastore Roadmap Review	Ad Hoc	1
CSED Waiver Waitlist Data Collection	Ad Hoc	1
CSED Utilization Visuals	Ad Hoc	2
Enhance Quality Reviews for MDTs	Ad Hoc	1
Continue Review of Kepro Colorful Spreadsheet	Ad Hoc	1
Polypharmacy (Psychotropic Medications) Review	Ad Hoc	1
Review Visuals for March 23 Quality Committee Reviews	Ad Hoc	1
Local Coordinating Agency Advisory Committee Debrief	Ad Hoc	1
Chapter 503 Licensed Behavioral Health Clinic Language	Ad Hoc	1
Wrap Facilitator Workforce Projection	Ad Hoc	1
External Meetings with Interested/Affected Groups		
Reducing the Reliance on Residential (R3) Stakeholder Meeting	Monthly (only in 2022)	3
Prudent Parenting Subgroup	1-2 times per month (mostly)	7
MDT Subgroup	Mostly 2 times monthly	8
Culture of Safety Subgroup	At least monthly (mostly)	7
Family Connections Subgroup	Mostly 1-2 times monthly	7
Local Coordinating Agency Advisory Committee	Mostly monthly	6
Kids Thrive Collaborative Meeting	Unknown schedule	1
Child Placement Agency Check In: CPA Measures Review and STAT Home Check In	Unknown schedule	1
WV and Iowa Group Care Peer-to-Peer TA Session	Unknown schedule	1
High Acuity Subgroup	Unknown schedule	6
STAT Home and General CPA Check-In	Unknown schedule	1
WVDHHR/KidsPeace/Alliance Behavioral Health Crisis Center Planning Meeting	Unknown schedule	1
Meeting with WV and Iowa Leadership	Unknown schedule	1

Appendix E—Spring SME Report Commonly Used Terms and Abbreviations

ASO	Administrative Service Organization
ADHD	Attention Deficit Hyperactivity Disorder
BBH	Bureau for Behavioral Health
BIPOC	Black, Indigenous, and People of Color
BJS	Bureau for Juvenile Services
BMS	Bureau for Medical Services
BSS	Bureau for Social Services
CAFAS	Child and Adolescent Functional Assessment Scale
CANS	Child and Adolescent Needs and Strengths
CSEDW	Children with Serious Emotional Disorder Waiver
CCRL	Children's Crisis and Referral Line
CFT	Child and Family Team
CMCRS	Children's Mobile Crisis Response and Stabilization
CPA	Child Placement Agency
CPS	Bureau for Social Services Child Protective Services
CQI	Continuous Quality Improvement
CAT	Crisis Assessment Tool
CU	Concord University
DART	Document assessment review tool
DW/DWS	Medicaid's Data Warehouse/Decision Support System
DHHR	Department of Health and Human Resources
DOE	Department of Education
DHS	Department of Homeland Security
DPS	WV Judiciary, Division of Probation Services
ED	Emergency Department
EPSDT	Early Periodic Screening Diagnosis and Treatment
FAST	Family Advocacy Support Tool
FTE	Full Time Equivalent
HCBS	Home- and Community-based Services
ISP	Individualized Service Plan
KPI	Key Performance Indicator
LGBTQ+	Lesbian, Gay, Bisexual, Transgender, Queer+
LOS	Length of Stay
MCO	Managed Care Organization
MDT	Multi-Disciplinary Team
MHP	Mountain Health Promise
MRSS	Mobile Response and Stabilization Services
MU	Marshall University
NWI	National Wraparound Initiative

NWIC	National Wraparound Implementation Center
OMCFH	Office of Maternal, Child, and Family Health
OQA	Office of Quality Assurance
PBS	Positive Behavioral Supports
PCP	Primary Care Provider
PECFAS	Preschool and Early Childhood Functional Assessment Scale
PIP	Performance Improvement Plan
PRTF	Psychiatric Residential Treatment Facility
QA	Quality Assurance
QIA	Qualified Independent Assessment
RMHTF	Residential Mental Health Treatment Facility
R3	Reducing Reliance on Residential
SAH	Safe at Home
SED	Serious Emotional Disturbance
SME	Subject Matter Expert
SMI	Serious Mental Illness
SOP	Standard Operating Procedures
SPA	State Plan Amendment
STAT	Stabilization and Treatment
SUD	Substance Use Disorder
TA	Technical Assistance
TFC	Therapeutic Foster Care
TAY	Transition Aged Youth
UCONN	University of Connecticut
UMB	University of Maryland at Baltimore
WF	Wraparound Facilitator
WVU	West Virginia University
WVU CED	West Virginia University's Center for Excellence in Disabilities
YS	Bureau for Social Services Youth Services